

**APPLICATION FORM FOR TRANSFER / EXIT
FOR DEALER'S REPRESENTATIVE/PROPRIETARY DAY TRADER**

1. Application Particulars

Full Name of Dealer's Representative:

License Number:

Date of License Granted

NRIC of Dealer's Representative

Current Status of Dealer's Representative

Proposed Status of Dealer's Representative

Current Participating Organisation

Prospecting Participating Organisation

Transfer Fees Borne By

Transfer Fees (RM)

Old:	
New:	

2. Background & Justifications

Applicant's Previous Transfer Information (indicated the latest transfer first)

No	Name of Participating Organisation		Year
	From	To	
i)			
ii)			
iii)			
iv)			
v)			



Reason of leaving Current Participating Organisation

Reason of joining Prospecting Participating Organisation

How did you learn about the vacancy in the new Participating Organisation

Do you have any outstanding position in your Current Participating Organisation

Any other comments that may be useful for Bursa Securities to access the transfer application

	<p>No</p> <p>Yes (please state the amount) RM _____</p>

Applicant's Declaration

I acknowledge that I, the applicant declares that all information given herein and the attached document(s) if any are true and correct.

Applicant's Signature	
Full Name of Applicant	
Date	

Participating Organisation's Declaration

We confirm the above request and have no objection/ objection to release the above applicant to join any other Participating Organisation of Bursa Malaysia Securities Berhad.

Authorised Representative Signature	
Full Name of Authorised Person	
Participating Organisation	
Designation	
Date	

**GUIDELINES FOR APPLICATION FOR TRANSFER / EXIT
FOR DEALER'S REPRESENTATIVE/PROPRIETARY DAY TRADER**

1. PLEASE DO SUBMIT THE APPLICATION AND ITS SUPPORTING DOCUMENTS TO THE FOLLOWING:

Hard Copy Submission

Head, Participants Affairs
Participants Supervision
Regulation
Bursa Malaysia Berhad
2nd Floor, Exchange Square
50200 Kuala Lumpur
pa@bursamalaysia.com

General Correspondence

Tel: (603) 2732 4999 or (603) 2034 7000
Fax: (603) 2026 3691

2. DOCUMENTATIONS REQUIRED

The applicant shall submit to Bursa Malaysia Securities Berhad the following:

- a) **Completed application** (Please complete all sections of the form in full. **This document must be typewritten**):
- i. A letter of Release from the Participating Organisation that the Dealer's Representative is employed or engaged with (if applicable) and
 - ii. Confirmation of payment

3. GUIDANCE NOTES

All applicants are requested to carefully study the Rules and Directives of Bursa Malaysia Securities Berhad before submitting their application.

4. FEES FOR THE TRANSFER OF DEALER'S REPRESENTATIVE

The fee payable to Bursa Malaysia Securities Berhad for the transfer of a Dealer's Representative is as follows:

(a) Commissioned Dealer's Representative:

	RM
First transfer	2,000.00
Second transfer	4,000.00
Third transfer	8,000.00

(b) Salaried Dealer's Representative:

	RM
First transfer	20,000.00
Second transfer	24,000.00
Third transfer	32,000.00

(c) Proprietary Day Trader:

	RM
First transfer	2,000.00
Second transfer	4,000.00
Third transfer	8,000.00