

ANNEXURE 1

AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BHD
in relation to the introduction of the e-reporting system

RULES OF BURSA MALAYSIA SECURITIES BHD

AMENDMENTS TO THE RULES IN RELATION TO THE INTRODUCTION OF THE E-REPORTING SYSTEM

| RULE | CURRENT PROVISION | RULE | AMENDED PROVISION |
|-------------|--|-------------|--|
| 1.01 | <p>Definition</p> <p>Adverse Event(s) Any one or more of the events specified in the Off-Balance Sheet Transaction(s) agreement that obliges a Participating Organisation to perform and discharge the Participating Organisation's obligations under the Off-Balance Sheet transaction(s).</p> | 1.01 | <p>Definition</p> <p>Adverse Event(s) Any one or more of the events specified in the Off-Balance Sheet Transaction(s) agreement that obliges a Participating Organisation to perform and discharge the Participating Organisation's obligations under the Off-Balance Sheet transaction(s). <i>[Deleted]</i></p> |
| 7.11 | <p>Investment Banks</p> <p>All provisions in this Part B of this Chapter 7 do not apply to a Participating Organisation that is an Investment Bank.</p> | 7.11 | <p>Investment Banks</p> <p>All provisions in this Part B of this Chapter 7 do not apply to a Participating Organisation that is an Investment Bank. <i>[Deleted]</i></p> |
| 7.12 | <p>Records of Off-Balance Sheet transactions</p> <p>A Participating Organisation must maintain a Record that sufficiently explains the Off-Balance Sheet transactions entered by it.</p> <p><i>[Refer to Directive No. 7.12-001]</i></p> | 7.12 | <p>Records of Off-Balance Sheet transactions</p> <p>A Participating Organisation must maintain a Record that sufficiently explains the Off-Balance Sheet transactions entered by it.</p> <p><i>[Refer to Directive No. 7.12-001]</i> <i>[Deleted]</i></p> |

ANNEXURE 1
AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BHD
in relation to the introduction of the e-reporting system

| RULE | CURRENT PROVISION | RULE | AMENDED PROVISION |
|------|---|---------------------|---|
| 7.13 | <p>Reporting of Off-Balance Sheet transactions</p> <p>A Participating Organisation must lodge with the Exchange a monthly report in the form provided in Appendix 2, by the 10th Market Day of the immediately following month in respect of the following matters:</p> <p>(a) any Off-Balance Sheet transaction entered into by a Participating Organisation during the preceding month;</p> <p>(b) any Off-Balance Sheet transaction performed or discharged by the Participating Organisation during the preceding month;</p> <p>(c) any Off-Balance Sheet transaction which remains to be performed or discharged during the preceding month;</p> <p>(d) any occurrence of an Adverse Event; and</p> <p>(e) any change to the original terms and conditions of the Off-Balance Sheet transactions specified in Rule 7.13(a), (b) and (c).</p> | 7.13 | <p>Reporting of Off-Balance Sheet transactions</p> <p>A Participating Organisation must lodge with the Exchange a monthly report in the form provided in Appendix 2, by the 10th Market Day of the immediately following month in respect of the following matters:</p> <p>(a) any Off-Balance Sheet transaction entered into by a Participating Organisation during the preceding month;</p> <p>(b) any Off-Balance Sheet transaction performed or discharged by the Participating Organisation during the preceding month;</p> <p>(c) any Off-Balance Sheet transaction which remains to be performed or discharged during the preceding month;</p> <p>(d) any occurrence of an Adverse Event; and</p> <p>(e) any change to the original terms and conditions of the Off-Balance Sheet transactions specified in Rule 7.13(a), (b) and (c). [Deleted]</p> |
| | New provision | <u>12.06</u> | <p style="text-align: center;"><u>PART D OFF-BALANCE SHEET TRANSACTIONS</u></p> <p><u>Investment Banks</u></p> <p><u>All provisions in this Part D of this Chapter 12 do not apply to a Participating Organisation that is an Investment Bank.</u></p> |

ANNEXURE 1
AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BHD
in relation to the introduction of the e-reporting system

| RULE | CURRENT PROVISION | RULE | AMENDED PROVISION |
|-------------------|---|---------------------|---|
| | New provision | <u>12.07</u> | <p><u>Records of Off-Balance Sheet transactions</u></p> <p><i>A Participating Organisation must maintain a Record that sufficiently explains the Off-Balance Sheet transactions entered by it.</i></p> <p><i>[Refer to Directive No. 12-003]</i></p> |
| | New provision | <u>12.08</u> | <p><u>Reporting of Off-Balance Sheet transactions</u></p> <p><i>A Participating Organisation must lodge with the Exchange a monthly report in the form as prescribed by the Exchange.</i></p> <p><i>[Refer to Directive No. 12-003]</i></p> |
| Appendix 2 | Please refer to the current provisions of Appendix 2, as set out in Appendix 1 below. | Appendix 2 | Please refer to the deletion of Appendix 2, as set out in Appendix 1 below. |

[End of Rule Amendments]

ANNEXURE 1
AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BHD
in relation to the introduction of the e-reporting system
APPENDIX 1

APPENDIX 2 — ~~DECLARATION ON OFF-BALANCE SHEET TRANSACTIONS~~
~~[RULE 7.13]~~

PARTICIPATING ORGANISATION: _____

We, for and on behalf of the Participating Organisation, to the best of our knowledge and belief, and having made appropriate enquiries with other directors and officers of _____ (name of the Participating Organisation), make the following representations:

- (i) ~~The Participating Organisation *has in the month of _____, entered into Off-Balance Sheet Transaction(s) as specified in the attachment(s) / *has not undertaken any Off-Balance Sheet Transaction in the month of _____;~~
- (ii) ~~that the Off-Balance Sheet Transaction(s) entered into by the Participating Organisation prior to the month of _____, which remain to be performed or discharged are as specified in the attachment(s) / *does not have Off-Balance Sheet Transaction(s) entered into previous to the month of _____ which remain to be performed or discharged;~~
- (iii) ~~that all Adverse Event(s) in relation to the Participating Organisation's entry into the Off-Balance Sheet Transaction(s) specified in (i) and (ii) above is(are) appended to the attachment; and~~
- (iv) ~~that all changes, amendments, variations or supplements to the terms and conditions of the Off-Balance Sheet Transaction(s) are reported as required under Rule 7.13 and is(are) appended to the attachment.~~

[Authorised signatory]

Date: _____

* Delete if not applicable

ANNEXURE 1
AMENDMENTS TO THE RULES OF BURSA MALAYSIA SECURITIES BHD
in relation to the introduction of the e-reporting system

APPENDIX 2 _____ **OFF-BALANCE SHEET TRANSACTIONS**
[Rule 7.13] _____ **MONTH OF:** _____

PARTICIPATING ORGANISATION: _____

| No. | Date of Transaction / Agreement | Nature of Transaction | Name of Securities (if applicable) | Quantity | Value (RM) | Counterparty | Transaction Period | Nature of Financial Arrangement and Financier (if applicable) |
|-----|---------------------------------|-----------------------|------------------------------------|----------|------------|--------------|--------------------|---|
| | | | | | | | | |

Note: 1. Report(s) on Adverse Events in relation to the abovementioned Off-Balance Sheet Transaction(s) must be appended to this attachment.
2. Report(s) relating to any changes, amendments, variations or supplements to the terms and conditions of the abovementioned Off-Balance Sheet Transaction(s) must be appended to this attachment. ~~[Deleted]~~