

**APPLICATION AS AN ASSOCIATE PARTICIPANT  
PURSUANT TO THE RULES OF BURSA MALAYSIA DERIVATIVES BERHAD**

**INFORMATION ON THE APPLICANT**

|     |  |  |
|-----|--|--|
| (a) | Name of Company<br><br>(including former name (if any) and the date of change)<br><br>Full address of the place at which the business of the applicant is or proposed to be carried out. |  |
|     | Company No.  |  |
|     | Principal Business   |  |
|     | Telephone No.  |  |
|     | Facsimile No.  |  |
| (b) | Place of incorporation   |  |
| (c) | Date of incorporation  |  |
| (d) | Registered office (full address)   |  |
|     | Company Website  |  |
|     | Telephone No.  |  |
|     | Facsimile No.  |  |
|     | Email Add  |  |
| (e) | The share and/or issued share capital of the applicant, including the type of shares issued or to be issued  |  |
| (f) | The nature of any business other than options and futures trading carried on or proposed to be carried on by the applicant   |  |
| (g) | Total paid-up share capital  |  |
|     | Paid-up capital  |  |

**2. SET OUT AS ANNEXURE HERETO THE FOLLOWING:**

- (a) The details of each shareholder of the applicant,
- i) If an individual:  
The full name, nationality, identity card or passport number, residential address, date of birth, number of shares being held and the date of acquisition and directorship in any other company.
- ii) If a company:  
The full name, address, number of shares being held and the date of acquisition.
- (b) The details of each director, secretary and the chief executive officer of the applicant, including the full name, nationality, identity card or passport number, residential address, date of birth and date of appointment.
- (c) The details of the person or person(s) who is or are having ultimate controlling interest of more than 50% in the applicant, including their full name, nationality, identity card number or passport number, occupation and directorship in any company (if applicable).

Where the number of shareholders in the applicant exceeds 20, please only provide particulars for those shareholders holding 5% or more of the issued share capital in their names.

- (d) The details of the person or person(s) who directly or indirectly exercise or has power to exercise a controlling influence over the management and policies of the applicant other than those shown as directors, including in the case of an individual his/her full name, nationality, identity card number or passport number, occupation and directorship in any company (if applicable) and in the case of a company, the name of the company, the date and place of incorporation of the company and the names of the directors of such company.
- (e) The details of the solicitors, auditors and accountants of the applicant, including, names and addresses.
- (f) **Information on the Applicant's Ultimate Holding (If Applicable)**

- (a) Name (and former names (if any) of the ultimate holding company. : \_\_\_\_\_
- (b) Date and place of incorporation of ultimate holding company. : \_\_\_\_\_
- (c) Describe the nature of the principal activities of the ultimate holding company. : \_\_\_\_\_

**DECLARATION**

I/We:-

- (a) declare that all the information given in this application including the information contained in the attached annexures are true and correct.
- (b) acknowledge that the Exchange may in its absolute discretion accept or reject this application without giving any reasons for its decision.
- (c) agree, if our application is accepted, to at all times abide by the Business Rules of the Exchange.

Dated this                      day of

\_\_\_\_\_  
(Signature of Director)

\_\_\_\_\_  
(Signature of Director/Secretary)

\_\_\_\_\_  
(Name of Director)

\_\_\_\_\_  
(Name of Director/Secretary)

This declaration is to be signed by two (2) Directors or a Director and the Secretary of the applicant and to be stamped with the company's stamp.

**Note: Please omit where relevant**

**Notes:**

- (1) This application must be accompanied by:
  - (i) certified true copies of the constitution, if any, or any other constituent documents, of the applicant company and if any, its corporate shareholders (if any);

Notification of change in the Register of Directors, Manager and Secretaries;