

**APPLICATION AS CLEARING PARTICIPANT  
PURSUANT TO THE RULES OF BURSA MALAYSIA SECURITIES CLEARING SDN BHD**

Application as:

Please indicate, where appropriate

- |     |                                  |                          |
|-----|----------------------------------|--------------------------|
| (a) | Trading Clearing Participant     | <input type="checkbox"/> |
| (b) | Non-Trading Clearing Participant | <input type="checkbox"/> |

**1. NAME OF APPLICANT**

**2. NATURE OF BUSINESS OF APPLICANT**

- Licenced bank
- Unit trust fund
- Insurance company
- Asset management company
- Others, please specify:

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**3. STATUS OF APPLICANT**

- Limited company incorporate in Malaysia
- Others, please specify:

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**4. APPLICANT'S OPERATIONAL CAPABILITY**

(please provide in outline details of the Applicant's operational capability including computerized facilities to link with the system)

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**5. APPLICANT'S FINANCIAL STATUS**

(please provide in outline details of the Applicant's financial status together with a copy of each of its previous two (2) years financial audited accounts)

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**6. APPLICANT'S OTHER DETAILS RELEVANT TO THE APPLICATION**

(please provide other details which the Applicant considers may be relevant to support its application, if any)

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**7. CERTIFICATE OF INCORPORATION**

Company number:
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**8. APPLICANT'S REGISTERED OFFICE**

Telephone:	Fax:

**9. APPLICANT'S CORRESPONDENCE ADDRESS (if different from registered office)**

Telephone:	Fax:



**10. APPLICANT'S DESIGNATED OFFICER(S) FOR CONTACT**

Name of designate: Position: Telephone no: NRIC or passport no:
Name of designate: Position: Telephone no: NRIC or passport no:

**11. APPLICANT'S KEY EXECUTIVES AND OTHER RESPONSIBLE OFFICERS FOR CLEARING AND SETTLEMENT OPERATIONS**

NAME	POSITION	TELEPHONE NO:	FAX NO:

**12. PARTICULARS OF APPLICANT'S HOLDING COMPANY (if any)**

<b>Name:</b>	
<b>Registered Office:</b>	
<b>Nature of Business:</b>	
<b>Country of Incorporation:</b>	
<b>Date of Incorporation:</b>	
<b>Percentage of Beneficial Control in Applicant:</b>	

**DECLARATION:**

**WE HEREBY** make an application for admission to Bursa Malaysia Securities Clearing Sdn Bhd as a Clearing Participant. We understand that admission is subject to approval by the Participation Committee and compliance with other conditions including but not limited to signing of the Clearing Participants Agreement, the payment of the relevant fees.

**WE DECLARE** that the designated officer(s), key executives and other responsible officers given in sections 10 and 11 of this application form are persons duly and properly authorized by us for the purpose prescribed in the Rules of Bursa Malaysia Securities Clearing Sdn Bhd and Operational procedure.

**WE DECLARE** that all information and statements disclosed herein in connection with our application are true and correct. We undertake to notify Bursa Malaysia Securities Clearing Sdn Bhd immediately in writing of any changes in the information given.

Dated this                      day of

\_\_\_\_\_  
(Signature of Director)

\_\_\_\_\_  
(Signature of Director/Secretary)

\_\_\_\_\_  
(Name of Director)

\_\_\_\_\_  
(Name of Director/Secretary)

This declaration is to be signed by two (2) Directors or a Director and the Secretary of the applicant and to be stamped with the company's stamp.

**NOTES:**

1. To facilitate processing, please complete the forms in capital letters.
2. All sections in the application forms must be completed (or state N/A where appropriate)
3. Please initial any alterations made. Where the space provided is insufficient, please attach/provide the relevant information/details in annexure. Any annexure should be identified as such and signed by the signatories to this application.
4. Each applicant must complete and submit the following document:
  - (i) the Clearing Participantship Agreement (in duplicate);
  - (ii) the Standard Form of Application as per Appendix to the Rules of the Bursa Malaysia Securities Clearing Sdn Bhd (to be executed under official seal);
  - (iii) a certified true copy of its previous two (2) financial year's audited accounts;
  - (iv) Certified true copies of the constitution, if any, or any other constituent documents, of the applicant company and if any, its corporate shareholders (if any); copies of the applicant's constitution, if any or other constituent documents evidencing the applicant's:
    - (i) directors and shareholders; and
    - (ii) paid-up capital;
  - (v) such documents and information as may be appropriate in respect of its financial standing such as:
    - Auditor's Certification;
    - Balance Sheet; and
  - (vi) Notice of registration;
  - (vii) Notification of change in the Register of Directors, Manager and Secretaries;
  - (viii) notice of registration of the new name;
  - (ix) Return of allotment of shares;
  - (x) Notification for change in the registered address (if relevant);
  - (xi) Particulars of change or alteration relating to foreign company (if relevant); or similar documents of its nature.
5. This application must be accompanied by :-
  - (i) a banker's draft or cashier's order purchased within Malaysia only and drawn on a bank in Kuala Lumpur, or a cheque issued by licensed finance company in Malaysia and drawn on a bank in Kuala Lumpur, for the relevant amount being payment to be applied at the appropriate time;
  - (ii) admission fee of RM 10,000.00 and annual fee of RM 5,000.00; and
  - (iii) please make the bank draft and/or cheque "non negotiable" and payable to "Bursa Malaysia Securities Clearing Sdn Bhd".