

**AMENDMENTS TO BEST PRACTICES UNDER THE RULES OF BURSA DERIVATIVES**  
**CONSEQUENTIAL TO THE COMPANIES ACT 2016**

DMA HANDBOOK ISSUED VIDE TP CIRCULAR 23/2010

PARA	EXISTING PROVISION	PARA	AMENDED PROVISION												
<b>1.2.3</b>	<p>DMA Client Due Diligence – Know Your Client (“KYC”) Requirements</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Client Type</th> <th style="text-align: center;">Simplified CDD</th> <th style="text-align: center;">Enhanced CDD</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">Corporation</td> <td> <ul style="list-style-type: none"> <li>• Certification of Incorporation</li> <li>• Memorandum &amp; Articles of Association</li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> </ul> </td> <td> <ul style="list-style-type: none"> <li>• Certification of Incorporation &amp; Articles of Association</li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> <li>• Information on the nature of business and its corporate structure</li> <li>• Latest audited financial statement</li> <li>• and/or management financial statements</li> <li>• Latest 3 month bank statement</li> <li>• Power of Attorney (if applicable)</li> </ul> </td> </tr> </tbody> </table>	Client Type	Simplified CDD	Enhanced CDD	Corporation	<ul style="list-style-type: none"> <li>• Certification of Incorporation</li> <li>• Memorandum &amp; Articles of Association</li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> </ul>	<ul style="list-style-type: none"> <li>• Certification of Incorporation &amp; Articles of Association</li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> <li>• Information on the nature of business and its corporate structure</li> <li>• Latest audited financial statement</li> <li>• and/or management financial statements</li> <li>• Latest 3 month bank statement</li> <li>• Power of Attorney (if applicable)</li> </ul>	<b>1.2.3</b>	<p>DMA Client Due Diligence – Know Your Client (“KYC”) Requirements</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Client Type</th> <th style="text-align: center;">Simplified CDD</th> <th style="text-align: center;">Enhanced CDD</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">Corporation</td> <td> <ul style="list-style-type: none"> <li>• <del>Certification of Incorporation, Notice of registration</del></li> <li>• <del>Memorandum &amp; Articles of Association</del></li> <li>• <del>Constitution (if any)</del></li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> </ul> </td> <td> <ul style="list-style-type: none"> <li>• <del>Certification of Incorporation, Notice of registration</del></li> <li>• <del>Memorandum &amp; Articles of Association</del></li> <li>• <del>Constitution (if any)</del></li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> <li>• Information on the nature of business and its corporate structure</li> <li>• Latest audited financial statement</li> <li>• and/or management financial statements</li> <li>• Latest 3 month bank statement</li> <li>• Power of Attorney (if applicable)</li> </ul> </td> </tr> </tbody> </table>	Client Type	Simplified CDD	Enhanced CDD	Corporation	<ul style="list-style-type: none"> <li>• <del>Certification of Incorporation, Notice of registration</del></li> <li>• <del>Memorandum &amp; Articles of Association</del></li> <li>• <del>Constitution (if any)</del></li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> </ul>	<ul style="list-style-type: none"> <li>• <del>Certification of Incorporation, Notice of registration</del></li> <li>• <del>Memorandum &amp; Articles of Association</del></li> <li>• <del>Constitution (if any)</del></li> <li>• Board Resolution on opening of account</li> <li>• Specimen signature of authorised signatories</li> <li>• Information on the nature of business and its corporate structure</li> <li>• Latest audited financial statement</li> <li>• and/or management financial statements</li> <li>• Latest 3 month bank statement</li> <li>• Power of Attorney (if applicable)</li> </ul>
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*[End of amendments to Best Practices]*